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#### REMARKS

Claims 15-26 were previously pending. Claims 22 and 25 are amended. No claims have been canceled.

Applicants note with appreciation the Examiner's indication that the application is in condition for allowance except for his request to comply with the new interference rules.

#### **Information Disclosure Statements**

The Applicants submit herewith two Information Disclosure Statements (IDS) seeking additional compliance with MPEP § 2001.06 identifying litigation related documents. One IDS provides references potentially subject to a protective order in a now-settled litigation in related patents, and the other IDS provides a copy of a Federal Circuit decision based that litigation. While the Applicants do not believe that these references will affect the patentability of the pending claims, the Applicants respectfully request the consideration of the same.

#### **Interference Issues**

A Request for Interference was filed in this case on November 17, 1998. Since that date, the rules regarding interference practice were amended. The present Office Action requests compliance with the rules propagated after the November 17, 1998 filing. Accordingly, Applicants herewith resubmit the request to have an interference declared between this application and an unexpired patent. Pursuant to 37 CFR § 41.202, Applicants submit the following information.

### (1) Identification of the Patent -- 37 CFR § 41.202(a)(1)

Applicants seek an interference with U.S. Patent No. 5,687,722 (the '722 patent), which issued on November 18, 1997 to Jonathon Tien. The '722 patent is entitled SYSTEM AND METHOD FOR THE ALGEBRAIC DERIVATION OF PHYSIOLOGICAL SIGNALS, and at issuance was assigned to SpaceLabs Medical, Inc., of Redmond, WA.

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### (2) Presentation of the Proposed Counts -- 37 CFR § 41.202(a)(2)

Claims 1-7 and 10-28 of the '722 patent are believed to interfere with Claims 15-19 and 22-26 of the present application. Proposed Count 1, presented below, corresponds to these claims.

Claims 8 and 9 of the '722 patent are believed to interfere with Claims 20 and 21 of the present application. Proposed Count 2, also presented below, corresponds to these claims.

### Count 1

A system for the enhancement of physiological signals for the measurement of blood oxygen in a subject, the system comprising:

first and second light sources to direct light toward the subject, said first and second light sources producing light of first and second wavelengths, respectively;

a light detector positioned to detect said first and second light signals after interaction with the subject and to generate first and second signals indicative of an intensity of said first and second detected light signals, respectively, said first generated signal having a first portion arising from light transmitted from said first source and a second portion arising from a first interference source, said second generated signal having a first portion arising from light transmitted from said second source and a second portion arising from a second interference source;

a packet generator to generate a plurality of data packets derived from data comprising said first and second generated signals;

wherein said first and second portions of said first and second generated signals and a ratio of said first portion of said first generated signal to said first portion of said second generated signal have a mathematical relationship; and

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a calculator using said mathematical relationship to generate at least first and second functions from said plurality of data packets, said at least first and second functions having a final solution value indicative of said ratio.

#### Count 2

A system for the enhancement of physiological signals for the measurement of blood oxygen in a subject, the system comprising:

first and second light sources to direct light toward the subject, said first and second light sources producing light of first and second wavelengths, respectively;

a light detector positioned to detect said first and second light signals after interaction with the subject and to generate first and second signals indicative of an intensity of said first and second detected light signals, respectively, said first generated signal having a first portion arising from light transmitted from said first source and a second portion arising from a first interference source, said second generated signal having a first portion arising from light transmitted from said second source and a second portion arising from a second interference source;

a packet generator to generate a plurality of data packets derived from data comprising said first and second generated signals;

wherein said first and second portions of said first and second generated signals and a ratio of said first portion of said first generated signal to said first portion of said second generated signal have a mathematical relationship; and

a calculator using said mathematical relationship to generate at least first and second functions from said plurality of data packets, said at least first and second functions having a final solution value indicative of said ratio, wherein said mathematical relationship has the following form:

$$-R_1(t_i) + (r_a + r_v)R_{12}(t_i) - r_a r_v R_2(t_i) = 0$$

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where  $r_a$  is said ratio, i=1 to 2 for said first and second data packets, respectively,  $R_1(t_i)$  is the energy of said first generated signal in the ith data packet,  $R_2(t_i)$  is the energy of said second generated signal in the ith data packet,  $R_{12}(t_i)$  is the correlation between said first and second generated signals in the ith data packet, and  $r_v$  represents a ratio variable of a portion of said first generated signal to a corresponding portion of said second generated signal.

### (3) Explanation of Why the Claims Interfere -- 37 CFR § 41.202(a)(3)

The following claim charts compare the claims of the present application with the claims of the '722 patent. The claim chart also shows why the claims interfere within the meaning of 37 CFR § 41.203(a).

#### COMPARISON OF PENDING CLAIMS 15 - 26 WITH THE '722 PATENT CLAIMS

Pending Claim 15	'722 patent Claim 1	Reason for Interference
A system for the enhancement of physiological signals for the measurement of blood oxygen in a subject, the system comprising:	A system for the enhancement of physiological signals for the measurement of blood oxygen in a subject, the system comprising:	The preamble recitation of each of pending Claim 15 and '722 patent Claim 1 is identical. Applicants take no position as to whether this portion of the claims constitutes a limitation. To the extent the preamble recitation constitutes a limitation, each claim would anticipate the other as to the preamble recitation.
first and second light sources to direct light toward the subject, said first and second light sources producing light of first and second wavelengths, respectively;	first and second light sources to direct light toward the subject, said first and second light sources producing light of first and second wavelengths, respectively;	Pending Claim 15 and '722 patent Claim 1 would each anticipate the other as to this limitation.
a light detector positioned to detect said first and second light signals after interaction with the subject and to	a light detector positioned to detect first and second light signals after interacting with the subject and to generate	Pending Claim 15 and '722 patent Claim 1 would each anticipate or render obvious the other as to this limitation.

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generate first and second first and second signals indicative of indicative of an intensity of signals an intensity of said first and said first and second detected second detected light signals, light signals, respectively, said respectively, said first first generated signal having a first portion arising from light generated signal having a first transmitted from said first portion arising from light source and a second portion transmitted from said first source and a second portion arising from first a interference light source, said arising from a first generated interference said second signal source. having a first portion arising signal second generated from light transmitted from having a first portion arising from light transmitted from said second source and a said second source and a second portion arising from a second interference second portion arising from a light second interference source; source: a packet generator to generate window Pending Claim 15 and '722 generator patent Claim 1 would each a plurality of data packets generate first and second data anticipate or render obvious derived from data comprising windows derived from data said first and second generated the other as to this limitation. comprising said first and second generated signals; signals; a storage location containing a wherein said first and second Pending Claim 15 and '722 mathematical relationship of patent Claim 1 would each portions of said first and anticipate or render obvious said first and second portions second generated signals and a the other as to this limitation. ratio of said first portion of of said first and second said first generated signal to generated signals and a ratio said first portion of said of said first portion of said second generated signal have a first generated signal to said first portion of said second mathematical relationship; and generated signal; and calculator using Pending Claim 15 and '722 using calculator said mathematical relationship to mathematical relationship to patent Claim 1 would each anticipate or render obvious generate first and second generate at least first and functions using said first and the other as to this limitation. second functions from said data windows, plurality of data packets, said second respectively, said first and at least first and second second functions having a functions having a solution value indicative of point of intersection indicative said ratio. of said ratio.

	Pending	, Cla	im 16	-	'722 Claim 2	Reas	on for Ir	nteri	erend	зе
The	system	of	Claim	15	The system of claim 1 wherein	Pending	Claim	16	and	'722

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wherein said ratio is indicative of blood oxygen saturation in the subject, the system further including a look-up table containing data relating said ratio to said blood oxygen saturation.

said ratio is indicative of blood oxygen saturation in the subject, the system further including a look-up table containing data relating said ratio to said blood oxygen saturation.

patent Claim 2 would each anticipate the other as to this limitation.

Pending Claim 17
The system of Claim 15
wherein at least one of said
data packets comprises filtered
data from at least one of said
first and second generated
signals.

'722 Claim 3 The system of claim 1 wherein said first data window is a derivative of said first and second generated signals.

Reason for Interference Pending Claim 17 and '722 patent Claim 3 would each anticipate or render obvious the other as to this limitation.

Pending Claim 18	
The system of Claim	15
wherein at least one of sa	iid
data packets comprises	a
component of at least one	of
said first and second generat	ed
signals with the DC removed	l

Pending Claim 19

'722 Claim 4 The system of claim 1 wherein said first data window is an alternating current (AC) component of said first and second generated signals.

'722 Claim 5

Reason for Interference Pending Claim 18 and '722 patent Claim 4 would each anticipate or render obvious the other as to this limitation.

# The system of Claim 15 wherein at least one of said data packets is derived from a group of signals comprising said first generated signal, filtered data from said first generated signal, said second generated signal, filtered data from said second generated signal, and filtered data from a combination of said first and second generated signals.

The system of claim 1 wherein said first data window is derived from a group of signals comprising said first signal, generated mathematical derivative of said first generated signal, said second generated signal, a mathematical derivative of said second generated signal, a combination of said first and second generated signals, and a mathematical derivative of said combination of said first and second generated signals.

Pending Claim 19 and '722 patent Claim 5 would each anticipate or render obvious the other as to this limitation.

Reason for Interference

	Per	nding	Cla	im 20	
The	sys	tem	of	Claim	15
wher	ein	said	n	nathemat	ical

'722 Claim 8

Reason for Interference The system of claim 1 wherein | Pending Claim 20 and '722 said mathematical relationship patent Claim 8 would each

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relationship	has	the	following
form:			

has the following form:

anticipate or render obvious the other as to this limitation.

$$-R_1(t_i) + (r_a + r_v)R_{12}(t_i) - r_a r_v R_2(t_i) = 0$$

$$\alpha i = Fi(\beta) = \frac{\int_{t}^{t} R_{i}^{2}(t) - \beta \int_{t}^{t} R_{i}(t)r_{i}(t)}{\int_{t}^{t} R_{i}(t)r_{i}(t) - \beta \int_{t}^{t} r_{i}^{2}(t)}$$

where ra is said ratio, i=1 to 2 for said first and second data packets, respectively, R<sub>1</sub>(t<sub>i</sub>) is the energy of said first generated signal in the ith data packet, R<sub>2</sub>(t<sub>i</sub>) is the energy of said second generated signal in the ith data packet,  $R_{12}(t_i)$  is the correlation between said first and second generated signals in the ith data packet, and r<sub>v</sub> represents a ratio variable of a portion of said first generated signal to a corresponding portion of said second generated signal

where  $\alpha$  is said ratio, i=1 to 2 for said first and second data windows, respectively, R<sub>i</sub>(t) is said first generated signal in the ith data window,  $r_i(t)$  is said second generated signal in the ith data window, and  $\beta$ represents a ratio variable of a portion of said first generated signal to a corresponding portion of said second generated signal.

Pending Claim 20 and '722 patent Claim 8 would each anticipate or render obvious the other as to this limitation.

# Pending Claim 21

The system of Claim 20 wherein said calculator is configured to solve for a pair of values, ra and rv, by solving linear functions in x and y having the following form:

## '722 Claim 9

The system of claim 8 wherein said calculator is configured to solve for a pair of values for a and  $\beta$ , respectively, by setting  $F_1(\beta)=F_2(\beta)$  and solving for the  $(\alpha,\beta)$  pair using a mathematical function having the following

### Reason for Interference

Pending Claim 21 and '722 patent Claim 9 would each anticipate or render obvious the other as to this limitation.

$$R_{12}(t_1) x - R_2(t_1)y = R_1(t_1)$$

 $R_{12}(t_2) \times R_2(t_2) = R_1(t_2)$ 

form:
$$\left( \begin{array}{c} (\alpha,\beta) = \left\{ \begin{array}{c} \left\{ \int_{-1}^{1} r^{2}(0) \int_{-1}^{1} R^{2}(0) - \int_{-1}^{1} R^{2}(0) \int_{-1}^{1} r^{2}(0) \right\} \\ = \left\{ \left\{ \int_{-1}^{1} r^{2}(0) \int_{-1}^{1} R^{2}(0) - \int_{-1}^{1} R^{2}(0) \int_{-1}^{1} r^{2}(0) \right\} \times \\ \left\{ \left\{ \int_{-1}^{1} r^{2}(0) \int_{-1}^{1} R^{2}(0) - \int_{-1}^{1} R^{2}(0) \int_{-1}^{1} R^{2}(0) \right\} \right\} \times \\ \left\{ \left\{ \int_{-1}^{1} r^{2}(0) \int_{-1}^{1} R^{2}(0) - \int_{-1}^{1} R^{2}(0) \int_{-1}^{1} R^{2}(0) \right\} \right\}$$

Pending Claim 21 and '722 patent Claim 9 would each anticipate or render obvious the other as to this limitation.

where  $x = r_a + r_v$ ;  $y = r_a r_v$ 

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Pending Claim 22	'722 Claim 11	Reason for Interference
A method using a computer for the enhancement of physiological signals for the measurement of blood oxygen in a subject, the method comprising the steps of:	A method using a computer for the enhancement of physiological signals for the measurement of blood oxygen in a subject, the method comprising the steps of:	The preamble recitation of each of pending Claim 22 and '722 patent Claim 11 is identical. Applicants take no position as to whether this portion of the claims constitutes a limitation. To the extent the preamble recitation constitutes a limitation, each claim would anticipate the other as to the preamble recitation.
directing first an second light sources toward the subject, said first and second light sources producing light of first and second wavelength, respectively.	directing first and second light sources toward the subject, said first and second light sources producing light of first and second wavelengths, respectively;	Pending Claim 22 and '722 patent Claim 11 would each anticipate the other as to this limitation.
detecting first and second light signals after interaction with the subject and generating signals indicative of an intensity of said first and second detected light signals, said first generated signals having a first portion arising from light transmitted from said first source and a second portion arising from a first interference source, said second generated signal having a first portion arising from light transmitted from said second source and a second portion arising from said second source and a second portion arising from a second interference source.	detecting first and second light signals after interaction with the subject and generating signals indicative of an intensity of said first and second detected light signals, said first generated signal having a first portion arising from light transmitted from said first source and a second portion arising from a first interference light source, said second generated signal having a first portion arising from light transmitted from said second source and a second portion arising from light transmitted from said second source and a second portion arising from a second interference light source;	Pending Claim 22 and '722 patent Claim 11 would each anticipate or render obvious the other as to this limitation.
generating a plurality of data packets derived from data comprising said first and second generated signals, and	generating a plurality of data windows derived from data comprising said first and second generated signals; and	Pending Claim 22 and '722 patent Claim 11 would each anticipate the other as to this limitation.
using a mathematical	using a mathematical	Pending Claim 22 and '722

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relationship of said first and second portions of said first and second generated signals and a ratio of said first portion of said first generated signal to said first portion of said second generated signal to generate at least first and second functions from said plurality of data packets, said at least first and second functions having a solution value indicative of said ratio.

relationship of said first and second portions of said first and second generated signals and a ratio of said first portion of said first generated signal to said first portion of said second generated signal to plurality generate a functions from said plurality of data windows, respectively, said plurality of functions solution value having a indicative of said ratio.

patent Claim 11 would each anticipate or render obvious the other as to this limitation.

he	m	ethoc	i	of	(	Claim	ì
here	ein	said	ra	tio	is	indic	at

Pending Claim 23

T 22 tive of blood oxygen saturation in the subject, the method further including the step of using a look-up table containing data relating said ratio to said blood oxygen saturation to determine the blood oxygen level in the subject.

# The method of claim 11 wherein said ratio is indicative of blood oxygen saturation in the subject, the method further including the step of using a look-up table containing data relating said ratio to said blood oxygen saturation to determine the blood oxygen level in the

subject.

'722 Claim 14

Reason for Interference Pending Claim 23 and '722 patent Claim 14 would each anticipate or render obvious the other as to this limitation.

Pending Claim 24	
The method of Claim	22
wherein at least one of	said
plurality of data pac	kets
comprises filtered data fi	rom
said first generated signal.	

#### '722 Claim 15 The method of claim 11 wherein a first of said plurality of data windows is derivative of said first generated signal.

Reason for Interference Pending Claim 24 and '722 patent Claim 15 would each anticipate or render obvious the other as to this limitation.

Pending Claim 25
The method of Claim 22
wherein at least one of said
plurality of data packets
comprises a component of said
first generated signal with the
DC removed.

	'72	2 C	laim	16		
The	meth	od	of	clai	m	11
wher	ein a fi	irst	of sa	aid pl	ura	lity
of	data	wii	ıdov	vs :	is	an
alter	nating	(	curre	ent	(A	C)
comp	ponent	C	of	said	f	irst
gene	rated s	igna	ıl.			

Reason for Interference Pending Claim 25 and '722 patent Claim 16 would each anticipate or render obvious the other as to this limitation.

Pending Claim 26
------------------

'722 Claim 23	
	4
IZZ CIQIII Z.	,

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The method of Claim 22 wherein at least one of said plurality of data packets is derived from a group of signals comprising said first generated signal, filtered data from said first generated signal, said second generated signal, filtered data from said second generated signal, a combination of said first and second generated signals, and from filtered data said combination of said first and second generated signals.

The system of claim 21 wherein a first of said plurality of data windows is derived from a group of signals comprising said first generated mathematical signal, a said first derivative of generated signal, said second generated signal, mathematical derivative of said second generated signal, a combination of said first and second generated signals, and a mathematical derivative of said combination of said first and second generated signals.

Pending Claim 26 and '722 patent Claim 23 would each anticipate or render obvious the other as to this limitation.

### (4) Applicants Will Prevail on Priority -- 37 CFR § 41.202(a)(4)

Applicants will prevail on priority in an interference, if declared. Applicants would be the Senior Party in an interference. In particular, the '722 patent lists an earliest priority date of May 17, 1995. Applicants are entitled to constructively claim priority to, *inter alia*, U.S. Application No. 08/320,154, filed October 7, 1994. Accordingly, the Applicants can prove a constructive reduction to practice earlier than the earliest constructive reduction to practice of the '722 patent. Moreover, Applicants are able to prove a date of invention prior to constructive reduction to practice. For at least these reasons, Applicants will prevail on priority if an interference is declared.

# (5) Written Description/Constructive Reduction to Practice -- 37 CFR § 41.202(a)(5),(6)

The following tables illustrate the written description support for Claims 15-26 in the pending application and priority applications<sup>1</sup>.

#### Claim 15

A system for the enhancement of physiological	09/195,791 (November 17, 1998)
signals for the measurement of blood oxygen in a	p. 6, ll. 4-9;

The cited references are not exhaustive. In the event interference is declared, Applicants reserve the right to set forth additional citations or rely on one or more applications not cited herein.

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subject, the system comprising:	p. 6, l. 25 – p. 7, l. 13;
subject, the system comprising.	p. 63, l. 33, p. 64, l. 12
	p. 03, 1. 33, p. 01, 1. 12
	08/859,837 (May 16, 1997)
	p. 6, ll. 4-9;
	<del>*</del>
	p. 6, 1. 25 – p. 7, 1. 13;
	p. 63, l. 33, p. 64, l. 12
	00/220 154 (0 4 1 15 1004)
	08/320,154 (October 17, 1994)
	p. 6, ll. 4-9;
	p. 6, l. 25 – p. 7, l. 13;
	p. 63, l. 33, p. 64, l. 12
first and second light sources to direct light toward	09/195,791 (November 17, 1998)
the subject, said first and second light sources	p. 8, ll. 1-4;
producing light of first and second wavelengths,	
respectively;	p. 65, 11. 18-24;
	p. 67, ll. 25-27;
	08/859,837 (May 16, 1997)
	p. 8, 11. 1-4;
	p. 64, l. 28, p. 65, l.1
	p. 65, ll. 18-24;
	p. 67, ll. 25-27;
	08/320,154 (October 17, 1994)
	p. 8, 11. 1-4;
	p. 64, l. 28, p. 65, l.1
	p. 65, ll. 18-24;
·	p. 67, 11. 25-27;
	p. 01, 111 20 21,
a light detector positioned to detect said first and	09/195,791 (November 17, 1998)
second light signals after interaction with the	p. 7, ll. 13-36;
subject and to generate first and second signals	p. 8, 1. 1-14;
indicative of an intensity of said first and second	p. 18, II. 8-29;
detected light signals, respectively, said first	Figures 4a-4b;
generated signal having a first portion arising from	p. 64, 1l. 28-33;
light transmitted from said first source and a	p. 65, 1l. 20-28;
	p. 67, 11. 25-36;
second portion arising from a first interference	p. 114, ll. 3-16;
source, said second generated signal having a first	<del>-</del>
portion arising from light transmitted from said	Figures 26, 27
second source and a second portion arising from a	09/950 927 (May 16, 1007)
second interference source;	08/859,837 (May 16, 1997)
	p. 7, ll. 13-36;
	p. 8, l. 1-14;

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	p. 18, 11. 8-29;
	Figures 4a-4b;
	p. 64, ll. 28-33;
	p. 65, ll. 20-28;
	p. 67, ll. 25-36;
	p. 114, ll. 3-16;
	Figures 26, 27
	11guies 20, 21
	09/220 154 (Octobor 17, 1004)
	08/320,154 (October 17, 1994)
	p. 7, ll. 13-36;
	p. 8, 1. 1-14;
	p. 18, ll. 8-29;
	Figures 4a-4b;
	p. 64, ll. 28-33;
	p. 65, ll. 20-28;
	p. 67, ll. 25-36;
	p. 114, ll. 3-16;
	Figures 26, 27
a packet generator to generate a plurality of data	09/195,791 (November 17, 1998)
packets derived from data comprising said first and	p. 76, l. 35 – p. 77, l. 26;
1 *	Figure 16
second generated signals;	riguic 10
•	09/950 927 (May 16, 1007)
	08/859,837 (May 16, 1997)
	p. 76, l. 35 – p. 77, l. 26;
	Figure 16
	08/320,154 (October 17, 1994)
	p. 76, l. 35 – p. 77, l. 26;
	Figure 16
	444)
wherein said first and second portions of said first	09/195,791 (November 17, 1998)
and second generated signals and a ratio of said	p. 16, l. 25 – p. 17, l. 8;
first portion of said first generated signal to said	p. 18, l. 8 – p. 19, l. 18;
first portion of said second generated signal have a	Figures 4a-4b;
mathematical relationship; and	p. 23, 11. 9-29 and, in particular, Equations
mathematical foliationship, and	5a-5b;
	p. 98, l. 21 – p. 99, l. 2;
	p. 120, ll. 23-30
	p. 120, 11. 23-30
	00/050 927 (May 16 1007)
	08/859,837 (May 16, 1997)
	p. 16, l. 25 – p. 17, l. 8;
	p. 18, l. 8 – p. 19, l. 18;
	Figures 4a-4b;
1	p. 23, ll. 9-29 and, in particular, Equations

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5a-5b;

p. 98, 1. 21 – p. 99, 1. 2;

p. 120, ll. 23-30

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p. 16, 1.25 - p. 17, 1.8;

p. 18, l. 8 – p. 19, l. 18;

Figures 4a-4b;

p. 23, 11. 9-29 and, in particular, Equations

5a-5b;

p. 98, 1.21 - p.99, 1.2;

p. 120, ll. 23-30

a calculator using said mathematical relationship to generate at least first and second functions from said plurality of data packets, said at least first and second functions having a final solution value indicative of said ratio.

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p. 98, l. 19 – p. 104, l. 16;

Equations 100, 101

08/859,837 (May 16, 1997)

p. 98, l. 19 – p. 104, l. 16;

Equations 100, 101

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p. 98, l. 19 – p. 104, l. 16;

Equations 100, 101

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#### Claim 16

The system of Claim 15 wherein said ratio is indicative of blood oxygen saturation in the subject, the system further including a look-up table containing data relating said ratio to said blood oxygen saturation.

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p. 79, ll. 12-15;

Figure 17;

p. 81, ll. 19-26;

Figure 18

#### 08/859,837 (May 16, 1997)

p. 79, ll. 12-15;

Figure 17;

p. 81, ll. 19-26;

Figure 18

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p. 79, Il. 12-15;

Figure 17;

p. 81, ll. 19-26;

Figure 18

#### Claim 17

The system of Claim 15 wherein at least one of said data packets comprises filtered data from at least one of said first and second generated signals.

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p. 69, ll. 27-30;

p. 70, 11. 20-26;

Figure 12;

p. 78, ll. 12-25;

Figure 17

#### 08/859,837 (May 16, 1997)

p. 69, ll. 27-30;

p. 70, 11. 20-26;

Figure 12;

p. 78, ll. 12-25;

Figure 17

#### 08/320,154 (October 17, 1994)

p. 69, ll. 27-30;

p. 70, 11. 20-26;

Figure 12;

p. 78, 11. 12-25;

Figure 17

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#### Claim 18

The system of Claim 15 wherein at least one of said data packets comprises a component of at least one of said first and second generated signals with the DC removed.

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p. 77, l. 34 – p. 78, l. 11; Figure 17; p. 80, ll. 31-34; p. 82, l. 6 – p. 83, l. 8; Figure 18

### 08/859,837 (May 16, 1997)

p. 77, 1. 34 - p. 78, 1. 11; Figure 17; p. 80, 11. 31-34; p. 82, 1. 6 - p. 83, 1. 8; Figure 18

### 08/320,154 (October 17, 1994)

p. 77, l. 34 – p. 78, l. 11; Figure 17; p. 80, ll. 31-34; p. 82, l. 6 – p. 83, l. 8; Figure 18

#### Claim 19

The system of Claim 15 wherein at least one of said data packets is derived from a group of signals comprising said first generated signal, filtered data from said first generated signal, said second generated signal, filtered data from said second generated signal, and filtered data from a combination of said first and second generated signals.

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p. 70, ll. 20-26; Figure 12; p. 78, ll. 12-25; Figure 17; p. 82, l. 6 - p. 83, l. 8; Figure 18

p. 69, ll. 27-30;

#### 08/859,837 (May 16, 1997)

p. 69, ll. 27-30; p. 70, ll. 20-26; Figure 12; p. 78, ll. 12-25; Figure 17; p. 82, l. 6 – p. 83, l. 8; Figure 18

### 08/320,154 (October 17, 1994)

p. 69, ll. 27-30;p. 70, ll. 20-26;

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Figure 12;
p. 78, ll. 12-25;
Figure 17;
p. 82, l. 6 – p. 83, l. 8;
Figure 18

#### Claim 20

The system of Claim 15 wherein said mathematical relationship has the following form:

$$-R_1(t_i) + (r_a + r_v)R_{12}(t_i) - r_a r_v R_2(t_i) = 0$$

where  $r_a$  is said ratio, i=1 to 2 for said first and second data packets, respectively,  $R_1(t_i)$  is the energy of said first generated signal in the ith data packet,  $R_2(t_i)$  is the energy of said second generated signal in the ith data packet,  $R_{12}(t_i)$  is the correlation between said first and second generated signals in the ith data packet, and  $r_v$  represents a ratio variable of a portion of said first generated signal to a corresponding portion of said second generated signal.

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p. 101, l. 24 – p. 104, l. 16 Equations 98, 100, 101

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p. 101, l. 24 – p. 104, l. 16 Equations 98, 100, 101

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p. 101, l. 24 – p. 104, l. 16 Equations 98, 100, 101

#### Claim 21

The system of Claim 20 wherein said calculator is configured to solve for a pair of values,  $r_a$  and  $r_v$ , by solving linear functions in x and y having the following form:

$$R_{12}(t_1) x - R_2(t_1)y = R_1(t_1)$$
  
 $R_{12}(t_2) x - R_2(t_2)y = R_1(t_2)$ 

where 
$$x = r_a + r_v$$
;  $y = r_a r_v$ 

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p. 104, Il. 1-16; Equations 102-104

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p. 104, ll. 1-16; Equations 102-104

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p. 104, ll. 1-16; Equations 102-104

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#### Claim 22

A method using a computer for the enhancement of physiological signals for the measurement of blood oxygen in a subject, the method comprising the steps of:

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p. 6, ll. 4-9;

p. 6, l. 25 - p. 7, l. 13;

p. 63, l. 33 – p. 64, l. 12

### 08/859,837 (May 16, 1997)

p. 6, ll. 4-9;

p. 6, 1.25 - p. 7, 1.13;

p. 63, 1.33 - p. 64, 1.12

### 08/320,154 (October 17, 1994)

p. 6, 11. 4-9;

p. 6, l. 25 – p. 7, l. 13;

p. 63, 1. 33 – p. 64, 1. 12

directing first and second light sources toward the subject, said first and second light sources producing light of first and second wavelength, respectively.

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p. 8, ll. 1-4;

p. 64, l. 28 - p. 65, l. 1;

p. 65, ll. 18-24;

p. 67, 11. 25-27

#### 08/859,837 (May 16, 1997)

p. 8, 11. 1-4;

p. 64, l. 28 - p. 65, l. 1;

p. 65, ll. 18-24;

p. 67, 11. 25-27

#### 08/320,154 (October 17, 1994)

p. 8, ll. 1-4;

p. 64, 1. 28 - p. 65, 1. 1;

p. 65, ll. 18-24;

p. 67, ll. 25-27

detecting first and second light signals after interaction with the subject and generating signals indicative of an intensity of said first and second detected light signals, said first generated signals having a first portion arising from light transmitted from said first source and a second portion arising from a first interference source, said second generated signal having a first portion arising from light transmitted from said second source and a second portion arising from a second portion arising from a second interference source.

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p. 7, 11. 13-36;

p. 8, l. 1-14;

p. 18, ll. 8-29;

Figures 4a-4b;

p. 64, 11. 28-33;

p. 65, 11. 20-28;

p. 67, ll. 25-36;

p. 114, ll. 3-16;

Figure 26, 27

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p. 7, ll. 13-36;

p. 8, l. 1-14;

p. 18, ll. 8-29;

Figures 4a-4b;

p. 64, 11. 28-33;

p. 65, 11. 20-28;

p. 67, 11. 25-36;

p. 114, ll. 3-16;

Figure 26, 27

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p. 7, ll. 13-36;

p. 8, 1. 1-14;

p. 18, ll. 8-29;

Figures 4a-4b;

p. 64, 11. 28-33;

p. 65, 11. 20-28;

p. 67, 11. 25-36;

p. 114, ll. 3-16;

Figure 26, 27

generating a plurality of data packets derived from data comprising said first and second generated signals, and

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p. 76, l. 35 - p. 77, l. 26;

Figure 16

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p. 76, l. 35 - p. 77, l. 26;

Figure 16

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p. 76, l. 35 – p. 77, l. 26;

Figure 16

using a mathematical relationship of said first and second portions of said first and second generated signals and a ratio of said first portion of said first generated signal to said first portion of said second generated signal to generate at least first and second functions from said plurality of data packets, said at least first and second functions having a solution value indicative of said ratio.

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p. 16, 1.25 - p. 17, 1.8;

p. 18, l. 8 - p. 19, l. 18;

figures 4a-4b;

p. 23, 11. 9-29 and, in particular, Equations

5a, 5b;

p. 120, 11. 23-30;

p. 98, l. 17 - p. 104, l. 16;

Equations 100, 101

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p. 16, l. 25 – p. 17, l. 8; p. 18, l. 8 – p. 19, l. 18; figures 4a-4b; p. 23, ll. 9-29 and, in particular, Equations 5a, 5b; p. 120, ll. 23-30; p. 98, l. 17 – p. 104, l. 16; Equations 100, 101 08/320,154 (October 17, 1994) p. 16, l. 25 – p. 17, l. 8; p. 18, l. 8 – p. 19, l. 18; figures 4a-4b; p. 23, ll. 9-29 and, in particular, Equations 5a, 5b; p. 120, ll. 23-30;

#### Claim 23

The method of Claim 22 wherein said ratio is indicative of blood oxygen saturation in the subject, the method further including the step of using a look-up table containing data relating said ratio to said blood oxygen saturation to determine the blood oxygen level in the subject.

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p. 79, ll. 12-15; Figure 17; p. 81, ll. 19-26; Figure 18; p. 86, l. 6 – p. 89, l. 16

p. 98, l. 17 – p. 104, l. 16;

Equations 100, 101

### 08/859,837 (May 16, 1997)

p. 79, ll. 12-15; Figure 17; p. 81, ll. 19-26; Figure 18; p. 86, l. 6 – p. 89, l. 16

# 08/320,154 (October 17, 1994)

p. 79, ll. 12-15; Figure 17; p. 81, ll. 19-26; Figure 18; p. 86, l. 6 – p. 89, l. 16

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#### Claim 24

The method of Claim 22 wherein at least one of said plurality of data packets comprises filtered data from said first generated signal.

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p. 69, 11. 27-30;

p. 70, 11. 20-26;

p. 81, ll. 19-26;

Figure 18;

p. 86, l. 6 – p. 89, l. 16

### 08/859,837 (May 16, 1997)

p. 69, 11. 27-30;

p. 70, 11. 20-26;

p. 81, ll. 19-26;

Figure 18;

p. 86, l. 6 – p. 89, l. 16

#### 08/320,154 (October 17, 1994)

p. 69, 11. 27-30;

p. 70, 11. 20-26;

p. 81, ll. 19-26;

Figure 18;

p. 86, l. 6 – p. 89, l. 16

#### Claim 25

The method of Claim 22 wherein at least one of said plurality of data packets comprises a component of said first generated signal with the DC removed.

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p. 77, l. 34 - p. 78, l. 11;

Figure 17;

p. 80, 11. 31-34;

p. 82, l. 6 - p. 83, l. 8;

Figure 18

### 08/859,837 (May 16, 1997)

p. 77, l. 34 - p. 78, l. 11;

Figure 17;

p. 80, 11. 31-34;

p. 82, 1.6 - p. 83, 1.8;

Figure 18

#### 08/320,154 (October 17, 1994)

p. 77, 1. 34 - p. 78, 1. 11;

Figure 17;

p. 80, 11. 31-34;

p. 82, l. 6 - p. 83, l. 8;

Figure 18

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#### Claim 26

The method of Claim 22 wherein at least one of said plurality of data packets is derived from a group of signals comprising said first generated signal, filtered data from said first generated signal, said second generated signal, filtered data from said second generated signal, a combination of said first and second generated signals, and filtered data from said combination of said first and second generated signals.

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p. 69, 11.27 - 30;

p. 70, ll. 20-26;

Figure 12;

p. 78, ll. 12 – 25;

Figure 17;

p. 82, 1. 6 - p. 83, 1. 8;

Figure 18

### 08/859,837 (May 16, 1997)

p. 69, 11. 27 - 30;

p. 70, 11. 20-26;

Figure 12;

p. 78, ll. 12 - 25;

Figure 17;

p. 82, l. 6 - p. 83, l. 8;

Figure 18

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p. 69, 11.27 - 30;

p. 70, ll. 20-26;

Figure 12;

p. 78, 11. 12 - 25;

Figure 17;

p. 82, 1. 6 - p. 83, 1. 8;

Figure 18

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Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

March 20, 2006

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